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JC9820 U.S. PTO

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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jc9820 U.S. PTO  
09/657759  
09/08/00

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003700.P002X

Total Pages 2

First Named Inventor or Application Identifier Colleen A. Barton, et al.

Express Mail Label No. EL627467699US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 38)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 7)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & documents(s))  
9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney  
10.  English Translation Document (if applicable)  
11.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations  
12.  Preliminary Amendment  
13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14.  a. Small Entity Statement(s)  
b. Statement filed in prior application, Status still proper and desired  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Other: Copy of postcard w/Express Mail Stamp.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)

of prior application No: 09/340,216 on 6/25/99

18. Correspondence Address

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME Andre' L. Marais Reg. No.: under 37 C.F. R. § 10.9(b)  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



ADDRESS 12400 Wilshire Boulevard

09/08/00

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

**FEE TRANSMITTAL FOR FY 2000****TOTAL AMOUNT OF PAYMENT (\$)** **\$2,944.00****Complete if Known:**Application No. Not AssignedFiling Date HerewithFirst Named Inventor Colleen A. Barton, et al.Group Art Unit Not AssignedExaminer Name Not AssignedAttorney Docket No. 003700.P002X**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

 Checks (2,904.00 & \$40.00) Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**Large Entity    Small Entity

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	690	201	345
106	310	206	155
107	480	207	240
108	690	208	345
114	150	214	75

Fee Description

Utility application filing fee  
 Design application filing fee  
 Plant filing fee  
 Reissue filing fee  
 Provisional application filing fee

Fee Paid690.00**SUBTOTAL (1) \$690.00****2. EXTRA CLAIM FEES**Extra ClaimsFee from belowFee PaidTotal Claims 78    - 20\*\* = 58    X \$18.00 = \$1,044.00Independent Claims 18    - 3\*\* = 15    X \$78.00 = \$1,170.00

## Multiple Dependent

=

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity    Small Entity

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
103	18	203	9
102	78	202	39
104	260	204	130
109	78	209	39
110	18	210	9

Fee Description

Claims in excess of 20  
 Independent claims in excess of 3  
 Multiple dependent claim, if not paid  
 \*\*Reissue independent claims over original patent  
 \*\*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$2,214.00**

01/10/2000

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

**FEE CALCULATION (continued)**

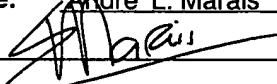
**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	380	216	Extension for response within second month
117	870	217	Extension for response within third month
118	1,360	218	Extension for response within fourth month
128	1,850	228	Extension for response within fifth month
119	300	219	Notice of Appeal
120	300	220	Filing a brief in support of an appeal
121	260	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application
141	1,210	241	Petition to revive unintentionally abandoned application
142	1,210	242	Utility issue fee (or reissue)
143	430	243	Design issue fee
144	580	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	240	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	690	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	690	249	For each additional invention to be examined (see 37 CFR 1.129(a))
			<b>40.00</b>
Other fee (specify)			
Other fee (specify)			

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Andre' L. Marais  
Signature  Date 09/08/00  
Reg. Number under 37 C.F.R. § 10.9(b) Deposit Account User ID \_\_\_\_\_  
(complete if applicable)

JC820 U.S. PTO  
09/657769



09/08/00

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Date of Deposit: September 8, 2000

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Geneva Walls

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

September 8, 2000

(Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: Herewith

Client: GeoMechanics International

Title: METHOD AND LOGIC FOR CAPTURING AND ANALYZING CONDUIT DATA

BSTZ File No.: 003700\_P002X Atty/Secty Initials: ALM/DNT/gw  
Date Mailed: September 8, 2000 Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input checked="" type="checkbox"/> Express Mail No.: <u>EL627467699US</u> <input checked="" type="checkbox"/> Check No. <u>37551</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> _____ Month(s) Extension of Time <u>Amt \$40.00</u>
<input checked="" type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs.) <input checked="" type="checkbox"/> Check No. <u>37553</u>
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal <u>Amt \$2,904.00</u>
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)
<input checked="" type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)
<input checked="" type="checkbox"/> Certificate of Mailing ( <b>Express Mail</b> )	<input type="checkbox"/> Response to Notice of Missing Parts
<input checked="" type="checkbox"/> Declaration & POA (____ pgs.) ( <b>Executed</b> )	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business
<input type="checkbox"/> Disclose Docs & Org & Copy of Inventor Signed Ltr (____ pgs.)	<input checked="" type="checkbox"/> Transmittal Letter, in duplicate <u>{2 pgs.}</u>
<input checked="" type="checkbox"/> Drawings: <u>7</u> # of sheets includes <u>7</u> figures	<input checked="" type="checkbox"/> Fee Transmittal, in duplicate <u>{2 pgs.}</u>

Other: Copy of Postcard w/Express Mail Stamp  
Letter Regarding Limited Recognition Under 37CFR § 10.9(b)  
w/attachment.

003700.P002X

jc820 U.S. PTO  
09/657759  
09/08/00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Colleen A. Barton, et al. ) Examiner: Not Assigned  
Serial No.: Not Assigned ) Art Unit: Not Assigned  
Filing Date: Herewith )  
For: METHOD AND LOGIC FOR )  
CAPTURING ANALYZING CONDUIT )  
DATA )

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**LETTER REGARDING LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

The undersigned has been granted limited recognition under 37 CFR § 10.9(b) to prosecute patent applications in which the applicants are clients of the Blakely, Sokoloff, Taylor & Zafman LLP law firm, and wherein a registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman LLP law firm is the attorney or agent of record. In this regard, please see the attached copy of the original document in which this limited recognition is granted. In particular, please note that:

- (a) The undersigned is an employee of the Blakely, Sokoloff, Taylor & Zafman LLP law firm;
- (b) The above applicant is a client of the Blakely, Sokoloff, Taylor & Zafman LLP law firm;
- (c) A registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman LLP law firm is an attorney or agent of record in the above application;
- (d) The undersigned continues to lawfully reside in the United States on a H-1B visa; and
- (e) The undersigned has been appointed as an associate attorney in the above application.

Accordingly, the undersigned meets all requirements for limited recognition under § 10.9(b), and is thus permitted to prosecute the above patent application.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Date: 09/08/2000

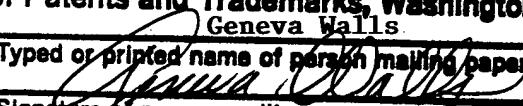
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

  
André L. Marais

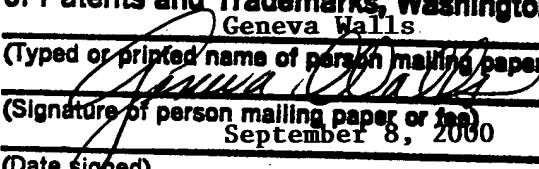
Under 37 CFR § 10.9(b)

"Express Mail" mailing label number: EL627467699US  
Date of Deposit: September 8, 2000

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Geneva Walls

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)  
September 8, 2000

(Date signed)

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Andre L. Marais is hereby given an extension to a grant of limited recognition under 37 CFR § 10.9(b), as an employee of the Blakely, Sokoloff, Taylor & Zafman law firm, to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of the Blakely, Sokoloff, Taylor & Zafman law firm, and wherein a registered practitioner who is a member of the Blakely, Sokoloff, Taylor & Zafman law firm, is the attorney of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Andre L. Marais ceases to lawfully reside in the United States, (ii) Andre L. Marais' employment with the Blakely, Sokoloff, Taylor & Zafman law firm ceases or is terminated, or (iii) Andre L. Marais ceases to remain or reside in the United States on an H1B1 visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: **October 21, 2000**



Harry I. Moatz, Acting Director  
Office of Enrollment and Discipline